

Please complete this form only if you are transferring assets directly to a new or existing Domini Funds IRA, converting from a Traditional IRA to a Roth IRA, transferring from an existing Roth IRA, rolling over a Roth IRA, or you are requesting a direct rollover from an employer sponsored plan. Please complete a separate form for each IRA you wish to transfer or for each qualified plan you are directly rolling over. If you are establishing a new Domini Funds IRA, this form must be preceded or accompanied by a completed Individual Retirement Custodial Account Adoption Agreement. Transfers may take 3 to 5 weeks to complete after your paperwork is received in good order.

### For Additional Copies or Assistance

If you need additional copies of this form, or would like assistance completing it, please call the Domini Funds at **800-582-6757**.

### Instructions

- If you are establishing a new Domini Funds IRA, this form must be preceded or accompanied by a completed Individual Retirement Account Adoption Agreement.
- Mail this Transfer Form to:  
**Domini Funds**  
**PO Box 46707**  
**Cincinnati, OH 45246**
- Overnight Delivery:  
**Domini Funds**  
**225 Pictoria Dr, Suite 450**  
**Cincinnati, OH 45246**
- Retain a copy for your records.

## 1. ACCOUNT REGISTRATION

Please provide your primary legal address, in addition to any mailing address (if different).

Owner's Name (First, Middle, Last)

Social Security Number

Street Address

Date of Birth

City, State, Zip

Daytime Telephone

Email Address

Evening Telephone

This is a new IRA account with the Domini Funds. I have completed and enclosed an IRA Application with this transfer form.  
This is an existing IRA. Please apply transfer proceeds to my account number:

## 2. DUPLICATE STATEMENTS (For Dealers, Financial Planners, Interested Parties)

|                    |                        |
|--------------------|------------------------|
| Name               | Company                |
| Street Address     | City, State, ZIP       |
| Email Address      | Daytime Telephone      |
| Broker/Dealer Code | Branch (if applicable) |

**Please mark the appropriate box:**

Interested Party     Broker/Dealer     Financial Planner     Trust Administrator

## 3. CURRENT IRA CUSTODIAN/TRUSTEE INFORMATION

|                                      |                                       |
|--------------------------------------|---------------------------------------|
| Name of Current Custodian or Trustee | Account Name                          |
| Street Address                       | Account Number                        |
| City, State, Zip                     | Telephone Number of Current Custodian |

**Please attach a copy of the most recent statement for this account.**

## 4. TRANSFER INFORMATION

Please transfer assets from the above account to First National Bank of Omaha. Transfer should be according to the following instructions:

### This transfer is a: (check one)

Full Transfer. Please liquidate all assets in my account.

Partial Transfer:

Liquidate  from my account.

Transfer in kind. Please transfer:

shares of

(Fund Name)

### The type of account I am transferring from is a: (check one)

Traditional IRA  
Rollover IRA  
SEP IRA  
Roth IRA  
Roth Conversion IRA  
SIMPLE IRA  
Inherited Traditional IRA  
Inherited ROTH IRA

### This transfer should be made: (check one)

Immediately

Upon maturity (if applicable).

Maturity date is:

(Please return this form 2 weeks prior to maturity)

### The type of account I am transferring to is a: (check one)

Traditional IRA  
Rollover IRA  
SEP IRA  
Roth IRA  
Roth Conversion IRA  
SIMPLE IRA  
Inherited Traditional IRA  
Inherited ROTH IRA

I understand that the requirements for a valid transfer to a Traditional IRA or Roth IRA are complex and I acknowledge that I have the responsibility for complying with all requirements and for the tax results of any such transfer.

## 5. TAX WITHHOLDING ELECTION

Please complete this section only if you are transferring from another type of IRA to a Roth IRA.

Under IRA rules, a transfer of a Traditional IRA, SEP IRA or SIMPLE IRA to a Roth IRA is treated for income tax purposes as a distribution of taxable amounts in the other IRA. IRS rules also require the custodian to withhold 10% of the conversion amount for federal income taxes unless no withholding has been elected. See IRS Publication 505, "Tax Withholding and Estimated Tax" for more information. State tax withholding may also apply if federal income tax is withheld.

No income tax withholding      Withhold 10% for income tax      Withhold for income tax:

Important: Withholding income taxes from the amount transferred (instead of paying applicable income taxes from another source) may adversely impact the expected financial benefits of transferring from another IRA to a Roth IRA (consult your financial advisor if you have a question). Because of this impact, by electing to convert a Traditional IRA to a Roth IRA, you are deemed to elect no withholding unless you check the box above. In so doing, by signing this form, you acknowledge that you may be required to pay estimated tax and that insufficient payments of estimated tax may result in penalties.

## 6. CERTIFICATIONS AND SIGNATURES

I certify to the current IRA custodian or trustee that I have established a successor Individual Retirement Custodial Account meeting the requirements of the Internal revenue Code to which assets will be transferred, and I certify to First National Bank of Omaha that the account from which assets are being transferred meets the requirements of the Internal Revenue Code and that the transfer satisfies the requirements for nontaxable transaction.

**The Internal Revenue Service does not require your consent to any provision of this document other than the certification required to avoid backup withholding.**

IRA account Owner's Signature

Date

### Medallion Signature Guarantee\* (if required):

Some Custodians/Trustees require a signature guarantee to transfer assets. Please check with your Custodian/Trustee to see if they require a medallion signature guarantee. Failure to obtain a required signature guarantee may result in a delay in the transfer of assets.

\* A signature guarantee can be obtained from a bank, broker-dealer, a credit union, a national securities exchange, savings association or other financial intermediaries that are members of an Approved Medallion Guarantee Program. A signature by a Notary Public is not acceptable as a signature guarantee.

## 7. CUSTODIAN ACCEPTANCE

First National Bank of Omaha agrees to accept the transfer of the above amount for deposit in a First National Bank of Omaha Individual Retirement Custodial Account for the individual set forth in Section 1 of this form and requests the transfer of assets as indicated above.

Accepted by:

Date:

## 8. TRANSFER INSTRUCTIONS

### Make check payable to:

Domini Funds

FBO:

Account Number:

### Mail to:

#### Domini Funds

PO Box 46707

Cincinnati, OH 45246

or

Via Overnight Delivery

225 Pictoria Dr, Suite 450

Cincinnati, OH 45246

## TO CONTACT US:

### By Telephone

Toll-free: **800-582-6757**

Fax: **1-877-513-0756**

### Internet

<https://www.domini.com/>

Distributed by DSIL Investment Services LLC

# Domini Privacy Notice

|              |   |
|--------------|---|
| <b>FACTS</b> | WHAT DOES <b>DOMINI</b> DO WITH YOUR PERSONAL INFORMATION?  |
| <b>Why?</b>  | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.  |
| <b>What?</b> | <p>The types of personal information we collect and share depend on the product or service you have with us and whether you visit Domini’s website, online application, or digital advertisements. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security number</li> <li>• Name, address, email address, and phone number</li> <li>• Account balances</li> <li>• Internet or other electronic network activity information regarding your interaction with <a href="http://www.domini.com">www.domini.com</a>, online application, or digital advertisements, e.g., unique personal identifier, online identifier, and IP Address</li> <li>• Transaction history</li> <li>• Account transactions</li> <li>• Assets</li> <li>• Retirement assets</li> </ul> <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p> |
| <b>How?</b>  | All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Domini chooses to share; and whether you can limit this sharing.   |

| Reasons we can share your personal information   | Does Domini share? | Can you limit this sharing? |
|--|--------------------|-----------------------------|
| <b>For our everyday business purposes –</b> such as to process your transactions, maintain your account(s), apply strictly necessary cookies to operate, enhance and improve our websites, respond to court orders and legal investigations, or report to credit bureaus | Yes                | No                          |
| <b>For our marketing purposes –</b> to offer our products and services to you  | Yes                | No                          |
| <b>For joint marketing with other financial companies</b>  | No                 | We don’t share              |
| <b>For our Investment Management affiliates’ everyday business purposes –</b> information about your transactions and experiences  | Yes                | No                          |
| <b>For our affiliates’ everyday business purposes –</b> information about your transactions and experiences  | No                 | We don’t share              |
| <b>For our affiliates’ and Investment Management affiliates’ everyday business purposes –</b> information about your creditworthiness  | No                 | We don’t share              |
| <b>For our Investment Management affiliates to market to you –</b> including use of optional cookies applied to understand the use and effectiveness of our websites, online applications, and digital advertisements, or identify topics that may interest you          | Yes                | Yes                         |
| <b>For our affiliates to market to you</b>   | No                 | We don’t share              |
| <b>For nonaffiliates to market to you</b>  | No                 | We don’t share              |

|                             |  |
|-----------------------------|--|
| <b>To limit our sharing</b> | <p>Send us an email at <a href="mailto:privacy@domini.com">privacy@domini.com</a> or call <b>1-800-582-6757</b>.</p> <p><b>Please note:</b> If you are a new customer, we can begin sharing your information 7 days from the date we sent this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p> |
|-----------------------------|--|

|                   |  |
|-------------------|--|
| <b>Questions?</b> | Call <b>1-800-582-6757</b> or email <a href="mailto:privacy@domini.com">privacy@domini.com</a> . |
|-------------------|--|

| Who We Are                    |   |
|-------------------------------|---|
| Who is providing this notice? | Domini Impact Investments LLC (the “Adviser”); DSIL Investments Services LLC; and all the Funds advised by the Adviser (collectively referred to as “Domini”). A complete list of Funds is included under Affiliates below. |

| What We Do   |   |
|--|---|
| How does Domini protect my personal information?                                   | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Our internal data security policies restrict access of nonpublic personal information to authorized employees. We maintain physical, electronic and procedural safeguards to guard our customers' nonpublic personal information. Employees who violate our data security policies are subject to disciplinary action, up to and including termination. |
| How does Domini collect my personal information?                                   | <p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>• Open an account or invest funds</li> <li>• Make contributions to, or withdrawals from, your account</li> <li>• Provide account information</li> <li>• Give us your contact information</li> <li>• Show your government-issued ID</li> <li>• Interact with Domini’s website, online application, or digital advertisements</li> </ul> <p>We also collect your personal information from affiliates or other companies.</p>                            |
| Why can’t I limit all sharing?   | <p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>• Sharing for affiliates’ everyday business purposes— information about your creditworthiness</li> <li>• Affiliates from using your information to market to you</li> <li>• Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>   |
| What happens when I limit sharing for an account I hold jointly with someone else? | If you hold an account jointly with someone else, we will accept instructions from either of you, and apply them to the entire account. Your choices will apply to everyone on your account.  |

| Definitions                      |  |
|----------------------------------|--|
| Investment Management Affiliates | <p>Companies related by common ownership or control.</p> <p>Our Investment Management affiliates include the Adviser; DSIL Investment Services LLC; and the registered investment companies advised by the Adviser including the Domini Investment Trust and its series, the Domini Impact Equity Fund, the Domini Impact International Equity Fund, the Domini Sustainable Solutions Fund, the Domini International Opportunities Fund and the Domini Impact Bond Fund.</p> |
| Affiliates                       | Companies related by common ownership or control. They can be financial and nonfinancial companies. Our affiliates include Domini Holdings LLC.  |
| Nonaffiliates                    | <p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <p><b>Domini</b> does not share information with nonaffiliates so they can market to you.</p>   |
| Joint marketing                  | <p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <p><b>Domini</b> does not jointly market.</p>  |

## **Business Continuity Plan Disclosure Statement – Domini Funds**

Domini Impact Investments LLC and its affiliated distributor, DSIL Investment Services LLC, maintain a Business Continuity Plan (BCP) that has been developed with the goal of protecting the health and safety of our employees and maintaining continuity of service for our Domini Funds shareholders. Our plan is designed to ensure that we are prepared to operate through significant business disruptions, so that our shareholders can access their funds without significant interruption under most circumstances.

Key elements of our BCP include the following:

- Critical data from our computer systems is backed up daily to geographically remote, secure facilities.
- All Domini employees can access Domini’s computer data remotely via a secure connection. In the event that Domini’s primary network is not accessible, Domini maintains replicas of all files and database servers in a geographically remote disaster-recovery network available to all employees over a secure connection.
- We maintain an office evacuation plan and emergency procedures in the event of a disaster affecting our primary office facilities or surrounding area.
- We maintain an emergency contact list and procedures updated and distributed on a regular basis.

Our mission critical functions, including shareholder transaction processing, custody and fund accounting, and investment submanagement, are accomplished through our key service providers. We require these key service providers to maintain business continuity plans that Domini reviews at least annually. The BCP is designed to address significant business disruptions of varying scope, including a firm-only disruption, single-building disruption, city-wide business disruption, or a regional disruption. In the event of a significant business disruption, our employees are to communicate from alternate locations and access Domini’s computer data remotely. Key service providers would be contacted, and would, if possible, continue to provide critical shareholder transaction processing, custody, fund accounting, and investment submanagement services. Our key service providers maintain offices outside New York City, and would not be affected by a city-wide business disruption. Even in the event of a regional disruption of significant scope, we require our key service providers to maintain alternate business locations that can help enable them to resume critical functions in a reasonable period of time.

If you need to contact us after a significant business disruption, please call our Shareholder Information telephone number at 1-800-582-6757, our main office telephone number at 212-217-1100, or visit our website at [www.domini.com](http://www.domini.com) and go to the “Contact Us” link. If you cannot contact us by calling our Shareholder Information phone number, our main office phone number, or our website, please contact our transfer agent, Ultimus Fund Solutions, LLC, at 513.587.3400 or through its website at <https://www.ultimusfundsolutions.com/contact/>.

It is impossible for us to anticipate every potential problem that may occur, but we believe our BCP will enable us to continue to conduct business in the event of a variety of possible business disruptions. We believe that our BCP is reasonably designed to help us limit the recovery time necessary to resume operations.

We review and test our BCP at least annually. The BCP is subject to modification based on changing circumstances and assessment of need.